

**FICA QUESTIONNAIRE FOR NATURAL PERSONS ACTING ON BEHALF OF PARTNERSHIPS**

<b>PARTNERSHIP</b>	
1	What is the identifying name of the partnership (ie, the trading name or name by which the partnership is commonly known)?
2	Does the partnership have a presence in South Africa (ie assets, operations or business premises)? If "yes", please provide details.
3	If the partnership is dealing with <b>NRE</b> on behalf of another person (ie a Principal), what is that Principal's full name and contact details?
4	What is the source of the partnership's authority to deal with <b>NRE</b> on that Principal's behalf?
5	What is <b>your</b> full name and contact details (ie the person completing the Questionnaire and dealing with <b>NRE</b> on behalf of the partnership)?
6	<p>Do you or a family member now occupy, or have you or a family member in the past 12 months occupied, any of the following positions in any country other than South Africa? If "yes", please indicate the position that you occupy(ied).</p> <ul style="list-style-type: none"> <li>• Head of state</li> <li>• Member of the royal family</li> <li>• Cabinet member</li> <li>• Senior member of a political party</li> <li>• Senior judicial officer</li> <li>• Senior executive of a state-owned entity</li> <li>• High rank in the military</li> </ul>
7	If you responded "yes" to the previous question, please indicate the source of your wealth.

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8	<p>Do you or a family member now occupy, or have you or a family member in the past 12 months occupied, any of the following positions in South Africa? If "yes", please indicate that position that you occupy(ied).</p> <ul style="list-style-type: none"> <li>• President or deputy president of South Africa</li> <li>• Cabinet minister or deputy minister</li> <li>• Premier of a province</li> <li>• MEC of a province</li> <li>• Mayor of a municipality</li> <li>• Leader of a political party</li> <li>• Member of a royal family</li> <li>• Senior traditional leader</li> <li>• Head, accounting officer or CFO of a national or provincial department</li> <li>• Manager or CFO of a municipality</li> <li>• Chairperson, CEO, accounting authority, CFO or chief investment officer of a public entity</li> <li>• Judge</li> <li>• Ambassador, high commissioner or other senior representative of a foreign country based in South Africa</li> <li>• Chairperson of board of directors, chairperson of audit committee, executive officer or CFO of a company doing more than <b>[INSERT AMOUNT ONCE GAZETTED]</b>'s worth of business with the government</li> </ul>	
9	<p>If you responded "yes" to the previous question, please indicate the source of your wealth.</p>	
10	<p>What is the source of your authority to complete this Questionnaire and deal with <b>NRE</b> on behalf of the partnership (ie authorisation letter, power of attorney or similar instrument)?</p>	
11	<p>Please describe the type of service that the partnership seeks from <b>NRE</b>, and also the purpose for which that service is sought.</p>	
12	<p>Will the partnership require <b>NRE</b> to perform the service in terms of a single Transaction (once-off only) or in terms of a</p>	

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13	If the partnership requires a Business Relationship, how will any of the payments owed to <b>NRE</b> under the Business Relationship be financed?
14	Will any of the payments referred to in the previous question involve a payment by the partnership or its Representative of R25 000 or more in cash (ie paper money, coins or traveller's cheques)?
15	Please describe the partnership's business (ie what industry it is in, what products / services it sells etc)
16	If the partnership is a professional partnership (ie all the partners are public accountants or auditors, attorneys, pharmacists, medical doctors or other professionals, engineers, architects or engineers), then who are the executive partners that control the day-to-day operations of the partnership?
17	What is the partnership's ownership and control structure (are the partners all natural persons, all companies, or a mixture of the two)?
18	What are the names of the partners ( <b><i>including</i></b> silent partners and partners <i>en commandite</i> , ie partners that do not necessarily involve themselves in the day-to-day running of the partnership, and who are not known to the general public as partners in the partnership)?
19	Of the partners just listed, who of them are in executive control of the partnership (ie which of the listed partners run the partnership on a day-to-day basis)?

<b>FOR OFFICE USE ONLY</b>	
FULL NAME OF EMPLOYEE ADMINISTERING QUESTIONNAIRE	
Were all required documents as per NRE's RMCP obtained, scanned, saved and verified?	<b>YES / NO</b>
Do you classify this client as a LOW RISK or HIGH RISK client according to NRE's RMCP?	<b>LOW / HIGH</b> (Risk Officer approval)
SIGNATURE	
DATE	