

FICA QUESTIONNAIRE FOR NATURAL PERSONS ACTING ON BEHALF OF TRUSTS

TRUSTS	
1	What is the name and Master's reference number of the trust?
2	Does the trust have a presence in South Africa (ie assets, operations or business premises)? If "yes", please provide details.
3	If the trust is dealing with NRE on behalf of another person (ie a Principal), what is that Principal's full name and contact details?
4	What is the source of the trust's authority to deal with NRE on that Principal's behalf?
5	What is <u>your</u> full name and contact details (ie the person completing this Questionnaire and dealing with NRE on behalf of the trust)?
6	<p>Do you or a family member now occupy, or have you or a family member in the past 12 months occupied, any of the following positions in any country other than South Africa? If "yes", please indicate the position that you occupy(ied).</p> <ul style="list-style-type: none"> • Head of state • Member of the royal family • Cabinet member • Senior member of a political party • Senior judicial officer • Senior executive of a state-owned entity • High rank in the military
7	If you responded "yes" to the previous question, please indicate the source of your wealth.

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8	<p>Do you or a family member now occupy, or have you or a family member in the past 12 months occupied, any of the following positions in South Africa? If "yes", please indicate that position that you occupy(ied).</p> <ul style="list-style-type: none"> • President or deputy president of South Africa • Cabinet minister or deputy minister • Premier of a province • MEC of a province • Mayor of a municipality • Leader of a political party • Member of a royal family • Senior traditional leader • Head, accounting officer or CFO of a national or provincial department • Manager or CFO of a municipality • Chairperson, CEO, accounting authority, CFO or chief investment officer of a public entity • Judge • Ambassador, high commissioner or other senior representative of a foreign country based in South Africa • Chairperson of board of directors, chairperson of audit committee, executive officer or CFO of a company doing more than [INSERT AMOUNT ONCE GAZETTED]'s worth of business with the government 	
9	<p>If you responded "yes" to the previous question, please indicate the source of your wealth.</p>	
10	<p>What is the source of your authority to complete this Questionnaire and deal with NRE on behalf of the trust?</p>	
11	<p>Please describe the type of service that the trust seeks from NRE, and also the purpose for which that service is sought.</p>	
12	<p>Will the trust require NRE to perform the service in terms of a single Transaction (once-off only) or in terms of a Business Relationship (more than one Transaction over a certain period of time)?</p>	

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13	If the trust requires a Business Relationship, how will any of the payments owed to NRE under the Business Relationship be financed?	
14	Will any of the payments referred to in the previous question involve a payment by the trust or its Representative of R25 000 or more in cash (ie paper money, coins or traveller's cheques)?	
15	Please describe the trust's purpose or business (ie why the trust was created or what industry it is in, what products / services it sells etc).	
16	Which Master of the High Court administers the trust?	
17	What is the full name of the person who created the trust?	
18	What are the full names of the trustees?	
19	Who are the named beneficiaries of the trust? <u>OR</u> If there are no named beneficiaries, how are the beneficiaries determined?	

FOR OFFICE USE ONLY	
FULL NAME OF EMPLOYEE ADMINISTERING QUESTIONNAIRE	
Were all required documents as per NRE's RMCP obtained, scanned, saved and verified?	YES / NO
Do you classify this client as a LOW RISK or HIGH RISK client according to NRE's RMCP?	LOW / HIGH (Risk Officer approval)
SIGNATURE	
DATE	